

Serial No. 10/647,678

Docket No. 121798-1

Ifw



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Edward Howson et al.

: Group Art Unit: 1711

Serial No.: 10/647,678

: Examiner: I. Zemel

Filed: August 25, 2003

For PROCESS FOR THE
FRACTIONATION/CONCENTRATION TO
REDUCE THE POLYDISPERSIVITY OF
POLYMERS

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

- ☐ 1 **Pursuant to 37 CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☒ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

1-20-05
Date of Deposit

Monica Gentile
Type or Print Name

Monica Gentile
Signature

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☒ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☒ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

January 19, 2005

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147

Attorney  Andrew J. Caruso

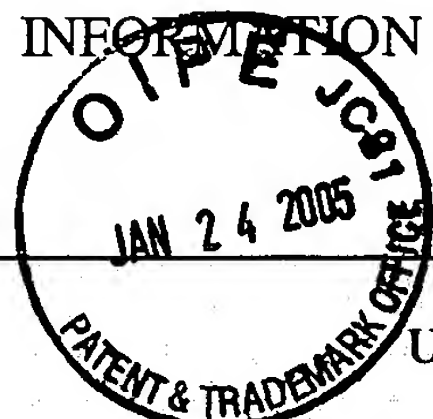
Registration No. 48,520

Phone: (518) 387-7354

or (518) 387-7122

FORM PTO-1449
(REV. 7-80)US. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
121798-1SERIAL NO.
10/647,678

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMS

Applicant
Paul Edward Howson et al.Filing Date
8/25/03Group
1711

U.S. PATENT DOCUMENTS & U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,264,536	11/23/93	Radosz		
	A2	6,166,137	12/26/00	Brown et al.		
	A3	6,417,255	7/9/02	Penning et al.		
	A4					
	A5					
	A6					
	A7					
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					
	A14					
	A15					
	A16					
	A17					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1					
	B2					
	B3					
	B4					

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

C1	PCT Search Report – December 27, 2004.
C2	
C3	
C4	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant